

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA

HUAWEI TECHNOLOGIES, CO, LTD, et
al.,

Plaintiffs,

v.

SAMSUNG ELECTRONICS CO, LTD., et
al.,

Defendants.

Case No. [16-cv-02787-WHO](#)

CIVIL PRETRIAL ORDER

A jury trial has been set in this matter for December 3, 2018, beginning at 8:30 A.M. with an attorney conference and jury selection to follow thereafter. A Pretrial Conference has been set for November 5, 2018 at 2:00 P.M.

The following scheduling deadlines and hearing dates have been set by Judge Spero:

Parties to meet and confer on issue regarding the number of products/product families and Plaintiff shall further reduce number of products by:	12/15/17
Updated case management conference statement to Judge Spero:	1/5/18
Further case management conference before Judge Spero:	1/12/18 at 2:00 PM
Discovery Cutoff:	3/9/18
Reduce Assert Patents to no more than 6:	3/16/18
Reduce Assert Claims to 15:	3/16/18
Reduce Accused Products to 18:	3/16/18
Reduce Invalidity References/Systems/Combinations to 45:	03/23/18
Opening Expert Reports:	4/13/18
Rebuttal Expert Reports:	5/11/18
Expert Discovery Cutoff:	06/08/18
Reduce Asserted Patents to no more than 5:	6/15/18
Reduce Assert Claims to 10:	6/15/18
Reduce Accused Products to 15:	06/15/18
Limit Invalidity References/Systems/Combinations to 25:	06/22/18
Dispositive Motions Hearing & Daubert Motions:	08/08/18 at 2:00 PM
Reduce Asserted Claims to 5:	10/19/18
Reduce Accused Products to 10:	10/19/18
Limit invalidity references/systems/combinations to 15:	10/26/18

1. Pretrial Conference and Statement

Not less than 28 days prior to the Pretrial Conference, counsel shall exchange (but not file or lodge) the papers described in Civil L.R. 16-10(b)(7), (8), (9) and (10), and any motions in limine.

At least 21 days before the Pretrial Conference, lead trial counsel shall meet and confer with respect to:

- Preparation and content of the joint pretrial conference statement;
- Resolution of any differences between the parties regarding the preparation and content of the joint pretrial conference statement and the preparation and exchange of pretrial materials to be served and filed pursuant to this Order. To the extent such differences are not resolved, the parties will present the issues in the pretrial conference statement so that the judge may rule on the matter during the Pretrial Conference; and
- Settlement.

At least 14 days prior to the Pretrial Conference, the parties shall file a joint pretrial conference statement containing the following information:

a. The Action

(i) Substance of the Action. A brief description of the substance of claims and defenses that remain to be decided.

(ii) Relief Prayed. A detailed statement of all the relief claimed, particularly itemizing all elements of damages claimed as well as witnesses, documents, or other evidentiary material to be presented concerning the amount of those damages.

b. The Factual Basis of the Action

(i) Undisputed Facts. A plain and concise statement of all relevant facts not reasonably disputable, as well as which facts parties will stipulate for incorporation into the trial record without the necessity of supporting testimony or exhibits.

(ii) Disputed Factual Issues. A plain and concise statement of all disputed factual issues that remain to be decided.

1 (iii) Agreed Statement. A statement assessing whether all or part of the action
2 may be presented upon an agreed statement of facts.

3 (iv) Stipulations. A statement of stipulations requested or proposed for pretrial or
4 trial purposes.

5 c. Disputed Legal Issues

6 (i) Points of Law. Without extended legal argument, a concise statement of each
7 disputed point of law concerning liability or relief, citing supporting statutes and decisions setting
8 forth briefly the nature of each party's contentions concerning each disputed point of law,
9 including procedural and evidentiary issues.

10 (ii) Proposed Conclusions of Law. If the case is to be tried without a jury, unless
11 otherwise ordered, parties should briefly indicate objections to proposed conclusions of law.

12 d. Trial Preparation

13 (i) Witnesses to be Called. A list of all witnesses likely to be called at trial, other
14 than solely for impeachment or rebuttal, together with a brief statement following each name
15 describing the substance of the testimony to be given.

16 (ii) Exhibits, Schedules and Summaries. A list of all documents and other items to
17 be offered as exhibits at the trial, other than solely for impeachment or rebuttal, with a brief
18 statement following each describing its substance or purpose and the identity of the sponsoring
19 witness. Unless otherwise ordered, parties will indicate their objections to the receipt in evidence
20 of exhibits and materials lodged and that counsel have conferred respecting such objections.

21 (iii) Estimate of Trial Time. An estimate of the number of court days needed for
22 the presentation of each party's case, indicating possible reductions in time through proposed
23 stipulations, agreed statements of facts, or expedited means of presenting testimony and exhibits.

24 (iv) Use of Discovery Responses. Counsel shall cite possible presentation at trial
25 of evidence, other than solely for impeachment or rebuttal, through use of excerpts from
26 depositions, interrogatory answers, or responses to requests for admission. Counsel shall indicate
27 any objections to use of these materials and that counsel has conferred respecting such objections.
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(v) Further Discovery or Motions. A statement of all remaining discovery or motions, including motions in limine.

e. Trial Alternatives and Options

(i) Settlement Discussion. A statement summarizing the status of settlement negotiations and indicating whether further negotiations are likely to be productive.

(ii) Consent to Trial Before a Magistrate Judge. A statement whether reference of all or part of the action to a master or magistrate judge is feasible, including whether the parties consent to a court or jury trial before a magistrate judge, with appeal directly to the Ninth Circuit.

(iii) Amendments, Dismissals. A statement of requested or proposed amendments to pleadings or dismissals of parties' claims or defenses.

(iv) Bifurcation, Separate Trial of Issues. A statement of whether bifurcation or a separate trial of specific issues is feasible and desired.

2. Witnesses

a. Jury Trials. The Pretrial Conference Statement shall include the witness list required in part by 1(d)(i) above. In addition, in the case of expert witnesses, the summary shall clearly state the expert's theories and conclusions and the basis therefore and shall be accompanied by a curriculum vitae; if the expert has prepared a report in preparation for the testimony, a copy thereof shall be furnished to opposing counsel. Witnesses not included on the list may be excluded from testifying.

b. Non-Jury Trials. In non-jury cases, any party may serve and lodge with the Court a written narrative statement of the proposed direct testimony of each witness under that party's control in lieu of a summary. Each statement shall be marked as an exhibit and shall be in a form suitable to be received into evidence.

3. Jury Instructions

a. Joint Set of Instructions. The parties shall jointly prepare a set of jury instructions, and shall file the proposed instructions at least fourteen days prior to the Pretrial Conference. The submission shall contain both agreed upon instructions (which shall be so noted), and contested instructions, all in the order in which they should be read to the jury. Where contested instructions

are included, they should be annotated both with the proponent's authority for seeking the instruction and the opponent's reason for opposition. Counsel shall deliver to Chambers a copy of the joint submission on a CD/DVD in Word format. The label shall include the case number and a description of the documents.

b. Substance and Format of Instructions. The instructions shall cover all substantive issues and other points not covered by the Ninth Circuit Manual of Model Jury Instructions. Each requested instruction shall be typed in full on a separate page and citations to the authorities upon which the instruction is based shall be included. Instructions shall be brief, clear, written in plain English, and free of argument. Pattern or form instructions shall be revised to address the particular facts and issues of this case.

c. Preliminary Statement and Instructions. If the parties wish to have a preliminary statement read to the jury, and/or preliminary instructions given to the jury, they shall jointly prepare and file the text of the proposed preliminary statement and/or preliminary instructions at least fourteen days prior to the Pretrial Conference.

d. Voir Dire and Verdict Forms. Each party shall file proposed questions for jury voir dire and a proposed Form of Verdict at least fourteen days prior to the Pretrial Conference.

4. Exhibits

a. Provide Copies of Exhibits to Other Parties. Each party shall provide every other party with one set of all proposed exhibits, charts, schedules, summaries, diagrams, and other similar documentary materials to be used in its case in chief at trial, together with a complete list of all such proposed exhibits. Voluminous exhibits shall be reduced by elimination of irrelevant portions or through the use of summaries. Each item shall be pre-marked with a trial exhibit sticker ("Trial Exhibit No. __"), not deposition exhibit label, and defendant's exhibit numbers shall be sequenced to begin after plaintiff's exhibit numbers. If there are numerous exhibits, they should be provided in three-ring binders with marked tab separators. All exhibits that have not been provided as required are subject to exclusion.

b. Stipulations re Admissibility. At least fourteen days prior to the Pretrial Conference, the parties shall make a good faith effort to stipulate to exhibits' admissibility. If

1 stipulation is not possible, the parties shall make every effort to stipulate to authenticity and
2 foundation absent a legitimate (not tactical) objection.

3 c. Objections to Exhibits. In addition to the exhibit list, counsel shall confer with
4 respect to any other objections to exhibits in advance of the Pretrial Conference. Each party shall
5 file a statement briefly identifying each item objected to, the grounds for the objection, and the
6 position of the offering party at least fourteen days prior to the date set for the Pretrial Conference.

7 d. Provide Copies of Exhibits to Court. One set of exhibits shall be provided to the
8 Court in Chambers on the Friday prior to the trial date, in binders, marked, tabbed, and indexed in
9 accordance with Local Rule 16-10(b)(7). Exhibits shall be identified as follows:

UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF CALIFORNIA TRIAL EXHIBIT 100 CASE NO. _____ DATE ENTERED _____ BY _____ DEPUTY CLERK

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20 Blocks of numbers shall be assigned to fit the needs of the case (e.g., Plaintiff has 1-100,
21 Defendant has 101-200). The parties shall not mark duplicate exhibits (e.g., plaintiff and
22 defendant shall not mark the same exhibit; only one copy of the exhibit shall be marked).

23 e. Witness Binders. If all of the exhibits in a case do not fit in one binder, then the
24 parties shall prepare a witness binder for each witness that will testify regarding three or more
25 exhibits.

26 f. Disposition of Exhibits after Trial. Upon the conclusion of the trial, each party
27 shall retain its exhibits through the appellate process. It is each party's responsibility to make
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1 arrangements with the Clerk of Court to file the record on appeal.

2 **5. Motions In Limine**

3 Any party wishing to have motions in limine heard prior to the commencement of trial
4 must file them at least fourteen days prior to the date set for the Pretrial Conference. All motions
5 in limine shall be contained in one document, limited to 25 pages pursuant to Civil L.R. 7-2(b),
6 with each motion listed as a subheading. Opposition to the motions in limine shall be contained in
7 one document, limited to 25 pages, with corresponding subheadings, and shall be filed at least
8 seven days prior to the Pretrial Conference. No reply papers will be considered. The motions will
9 be heard at the Pretrial Conference or at such other time as the Court may direct. Nothing in this
10 provision prevents a party from noticing its motions in limine regularly for hearing on or prior to
11 the final date for hearing dispositive motions. No leave to file under seal will be granted with
12 respect to motions in limine.

13 **6. Other Pretrial Matters**

14 a. Status Conferences. Any party desiring to confer with the Court may, upon notice
15 to all other parties, arrange a conference through the Courtroom Deputy, Jean Davis, at 415-522-
16 2077 or whocrd@cand.uscourts.gov.

17 b. Settlement Conferences. Parties wishing to arrange a settlement conference before
18 another judge or magistrate judge may do so by contacting the Courtroom Deputy.

19 c. Daily Transcripts. Should a daily transcript and/or realtime reporting be desired,
20 the parties shall make arrangements with Rick Duvall, Court Reporter Supervisor, at 415-522-
21 2079 or Richard_Duvall@cand.uscourts.gov, at least seven calendar days prior to the trial date.

22 **7. Trial Matters**

23 a. The normal trial schedule will be from 8:00 a.m. to 1:00 p.m. (or slightly longer to
24 finish a witness) with two fifteen minute breaks. Trial is usually held from Monday through
25 Friday.

26 b. Ordinarily, the Court will set fixed time limits for each side at the Final Pretrial
27 Conference.

28 c. Expert witnesses are limited to the scope of their expert reports on direct

examination. F.R.C.P. 26(a)(2) and 37(c).

d. Parties must meet and confer to exchange any visuals, graphics or exhibits to be used in opening statements. Unless otherwise agreed, the exchange must occur no later than Wednesday before the trial. Any objections not resolved must be filed in writing by Thursday before trial. The parties shall be available by telephone Friday before trial to discuss the issue raised with the Court.

e. The parties shall disclose the witnesses whom they will call at trial on any given day by at least 2:00 p.m. the court day before their testimony is expected. Failure to have a witness ready to proceed at trial will usually constitute resting.

f. The Court takes a photograph of each witness prior to the witness's testimony.

g. Other than a party or party representative, fact witnesses are excluded from the courtroom until they are called to testify, and may not attend in the gallery until their testimony is complete.

h. The Court does not typically allow bench conferences. If there are matters that need to be raised with the Court outside the presence of the jury, the parties should raise them in the morning before trial or during recess. With advance notice, the Court is usually available at 7:30 a.m. to address such matters.

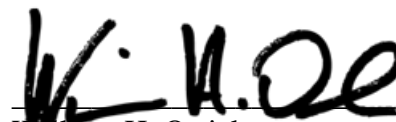
8. Miscellaneous

a. Please DO NOT call Chambers. If you need to contact the Courtroom Deputy, please call (415) 522-2077 and leave a message if the deputy is not available, or email whocrd@cand.uscourts.gov.

b. Copies. Each document filed or lodged with the Court must be accompanied by a three-hole punched copy for use in the Judge's chambers. In addition, one copy of the witness and exhibit lists should be furnished to the court reporter.

IT IS SO ORDERED.

Dated: November 2, 2017


William H. Orrick
United States District Judge